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01/05/01  
jc962 U.S. PTO

Patent  
Attorney Docket: 256/158

To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

jc915 U.S. PTO  
09/755763  
01/05/01

**NEW APPLICATION TRANSMITTAL - UTILITY**

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** David R. Williams and Kathie S. Richter

**Title:** PEN-BASED HANDWRITTEN CHARACTER RECOGNITION AND STORAGE SYSTEM

**I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):**

- 35 Page(s) of Written Description
- 15 Page(s) Claims
- 1 Page(s) Abstract
- 18 Sheets of Drawings ☐ Informal ☒ Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

- ☐ Declaration
- ☐ Power of Attorney ☐ Separate ☐ Combined with Declaration
- ☐ Assignment to \_\_\_\_\_ and assignment cover sheet
- ☐ Certified Copy of Priority Document No(s):
- ☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
- ☐ Preliminary Amendment
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
- ☒ Return Postcard
- ☐ Other:

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL360422741US  
Express Mail Label No.

January 05, 2001  
Date of Deposit

Reynaldo Gallardo  
Name of Person Mailing Paper

*[Signature]*  
Signature of Person Mailing Paper

**III. PRIORITY**

- ☒ Priority of provisional application Serial No. 60/175,127 filed on January 6, 2000 in the USPTO is claimed under 35 .S.C. § 119.

**IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:**

- ☒ Applicant claims small entity status pursuant to 37 CFR § 1.27

|   |       |                 |    |   |                          |                   |
|---|-------|-----------------|----|---|--------------------------|-------------------|
| <b>BASIC FILING FEE:</b>  |       |                 |    |   |                          | <b>\$710.00</b>   |
| Total Claims  | 72    | -               | 20 | = | 52 x \$18.00             | \$936.00          |
| Independent Claims  | 3     | -               | 3  | = | 0 x \$80.00              | \$0.00            |
| Multiple Dependent Claims   | \$270 | (if applicable) |    |   | <input type="checkbox"/> | \$0.00            |
| <b>TOTAL OF ABOVE CALCULATIONS</b>  |       |                 |    |   |                          | <b>\$1,646.00</b> |
| Reduction by ½ for Filing by Small Entity, if applicable, as asserted above.<br>Note 37 CFR §§ 1.9, 1.27, 1.28. |       |                 |    |   |                          | \$0.00            |
| Misc. Filing Fees (Recordation of Assignment -- \$40)   |       |                 |    |   |                          | \$0.00            |
| <b>TOTAL FEES DUE HERewith</b>  |       |                 |    |   |                          | <b>\$823.00</b>   |

**V. METHOD OF PAYMENT OF FEES**

- ☒ A check in the amount of \$823.00.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of       .
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

**VI. AUTHORIZATION TO CHARGE FEES**

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☒ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

**VII. CORRESPONDENCE ADDRESS**

Please send all correspondence to Customer Number 22249:



**22249**

PATENT TRADEMARK OFFICE

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Please direct all inquiries to David H. Ben-Meir, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: January 5, 2001

By: 

David H. Ben-Meir  
Reg. No. 46,152